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| APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 09/693,115  | 10/20/2000  | Dean F. Jerding      | A-6688              | 7821             |
| 5642  | 7590        | 06/16/2004           | EXAMINER            |                  |
| SCIENTIFIC-ATLANTA, INC.<br>INTELLECTUAL PROPERTY DEPARTMENT<br>5030 SUGARLOAF PARKWAY<br>LAWRENCEVILLE, GA 30044 |             |                      | BELIVEAU, SCOTT E   |                  |
|   |             |                      | ART UNIT            | PAPER NUMBER     |
|   |             |                      | 2614                | /3               |

DATE MAILED: 06/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

| <b>Office Action Summary</b> | Application No. | Applicant(s)   |
|------------------------------|-----------------|----------------|
|                              | 09/693,115      | JERDING ET AL. |
| Examiner                     | Art Unit        |                |
| Scott Beliveau               | 2614            |                |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### **Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Staty

- 1)  Responsive to communication(s) filed on 13 May 2004.

2a)  This action is **FINAL**.                            2b)  This action is non-final.

3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## **Disposition of Claims**

- 4)  Claim(s) 93-120 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5)  Claim(s) \_\_\_\_\_ is/are allowed.

6)  Claim(s) 93-120 is/are rejected.

7)  Claim(s) \_\_\_\_\_ is/are objected to.

8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9)  The specification is objected to by the Examiner.

10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.

    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a)  All b)  Some \* c)  None of:  
1.  Certified copies of the priority documents have been received.  
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)  
2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  
3)  Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date

- 4)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_ .

5)  Notice of Informal Patent Application (PTO-152)

6)  Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Priority***

1. Applicant's claim for domestic priority under 35 U.S.C. 119(e) is acknowledged. However, the provisional application no. 60/170,302 upon which priority is claimed fails to provide adequate support under 35 U.S.C. 112 for claims 93-120 of this application. While the "Description of Enhancements for the Scientific-Atlanta Resident Application (SARA) Release 1.14" reference discloses that the user may utilize selectable color templates for the IPG (Page 41 – 44), the particular limitations wherein a graphical element, font characteristic, or color scheme is particular associated with both a "first and second software module" does not appear to be disclosed or suggested. Furthermore, details pertaining to the storage of such information in memory sections of the set-top terminal (STT) do not appear to be disclosed. Accordingly, in light of the provisional application the instant application will be examined in view of its filling date of 19 October 2000.
2. With respect to applicant's claim for priority as a continuation-in-part to co-pending application No. 09/590,488, the common subject matter of the earlier application merely relates to the overall system architecture of the utilized by the instant application (Figures 1-2) and illustrates similar GUI screen-shots. The subject matter of the '488 application, however, does not support the claimed subject matter of the instant application relating to the customization of the display so as to adopt a common look and feel across multiple applications. Accordingly, the claims of the instant application shall not receive priority to the earlier application and shall be examined in view of the filing date (19 October 2000).

***Response to Arguments***

3. Applicant's arguments with respect to previously claims 47-92 have been considered but are moot as all of the previously presented claims have been cancelled. Accordingly, a new ground(s) of rejection to address the newly presented claims 93-120 follows.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.
5. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
6. Claims 93-104 and 112-120 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ellis et al. (WO 00/05889), in view of Craycroft et al. (US Pat No. 6,731,310).

In consideration of claim 93, the Ellis et al. reference discloses a method implemented by a “set-top terminal (STT)” [40] for the implementation of a common interface between a “first software module including an executable program configured” [76] and a “second

software module including an executable program" [70] stored in respective "portions" of "memory" (Page 2, Lines 9-29; Page 21, Lines 12-25). The method involves "storing color specifications in the STT which specify a plurality of colors" [92] (Page 20, Lines 9-27), a "first software module" [70] and a "second software module" wherein the software modules "provide" a first and second "television functionality including a first plurality of structured visual presentations, wherein each of the first plurality of structured visual presentation includes a plurality of graphical elements" (Figures 15-17B). The "set-top terminal" [40] "receives television service data from a server device remotely located from the STT" (Page 15, Lines 6-29) and enables "the first software module to include a portion of the television service data in the first structured visual presentation" (Figure 16). Furthermore, the API [72] "enables" and "associates the first and second software modules" with a "color specified by the first set of color specifications", and "outputs" the "first structured visual presentation" and the "second structured visual presentation . . . [with] the at least one graphical element [being] displayed by the first set of color specifications" (Page 19, Line 6-27; Page 22, Lines 16-28; Page 23, Line 24 – Page 24, Line 5).

As illustrated in Figure 29B, Ellis et al. teaches the usage of a setup menu so as to enable the user to customize various features of the program guide (Page 48, Lines 21-25). The reference, however, does not explicitly disclose nor preclude that "responsive to user input" a user is capable of "associating at least one graphical element with a color specified by the first set of color specifications" wherein the element is "contained" within the aforementioned visual presentations. Craycroft et al. discloses a method that may be applied to any type of display system having a user interface wherein the user is operable to

“associate at least one graphical element” including text, icon, menu bar, etc. “with a color specified by the first set of color specifications responsive to a user input” (Col 4, Lines 21-67; Col 5, Lines 35-64; Col 20, Line 46 – Col 21, Line 32). For example, a user may specify a particular “theme” associated with particular graphical element colors or “set of color specifications” for certain graphical objects and then associate other graphical objects with different “themes” associated with a “second set of color specifications” (Col 9, Lines 16-33, 49-67). Accordingly, it would have been obvious to one having ordinary skill in the art at the time the invention was made so as to provide the user with the ability in conjunction with the Ellis et al. display system with a user interface for the purpose of allowing application user to have additional flexibility and greater control over the appearance of the interface (Craycroft et al.: Col 3, Lines 9-12).

In consideration of claim 105, the Ellis et al. reference discloses a method implemented by a “set-top terminal (STT)” [40] for the implementation of a common interface between a “first software module including an executable program configured” [76] and a “second software module including an executable program” [70] (Page 2, Lines 9-29). The method involves “storing color scheme specifications in the STT” [92] (Page 20, Lines 9-27), a “first software module” [70] and a “second software module” wherein the software modules “provide” a first and second “television functionality including a first plurality of structured visual presentations, wherein each of the first plurality of structured visual presentation includes a plurality of graphical elements” (Figures 15-17B). The “set-top terminal” [40] “receives television service data from a server device remotely located from the STT” (Page 15, Lines 6-29) and via the API [72] “enables”, “associates the first and second software

modules with a . . . . color scheme specification", and "outputs" the "first structured visual presentation" and the "second structured visual presentation" wherein both the "first" and "second plurality of letters are displayed" within the "first" and "second structured visual presentation according to the font characteristic specifications" in displaying a consistent user interface between software modules (Page 19, Line 6-27; Page 22, Lines 16-28; Page 23, Line 24 – Page 24, Line 5).

As illustrated in Figure 29B, Ellis et al. teaches the usage of a setup menu so as to enable the user to customize various features of the program guide (Page 48, Lines 21-25). The reference, however, does not explicitly disclose nor preclude that "responsive to user input corresponding to at least one selectable option provided by the STT" that the user may designate a particular "color scheme specification" to be utilized in conjunction with the shared applications. Craycroft et al. discloses a method that may be applied to any type of display system having a user interface wherein a user can "select" a "color scheme specification among said plurality of color scheme specifications responsive to user input corresponding to at least one selectable option provided by the STT, the selectable option corresponding to the selected color scheme specification" (Col 4, Lines 21-67; Col 5, Lines 35-64; Col 20, Line 46 – Col 21, Line 32). Accordingly, it would have been obvious to one having ordinary skill in the art at the time the invention was made so as to provide the user with the ability in conjunction with the Ellis et al. display system with a user interface for the purpose of allowing application user to have additional flexibility and greater control over the appearance of the interface (Craycroft et al.: Col 3, Lines 9-12).

Claims 94 and 116 are rejected wherein “the first television functionality is a video-on-demand (VOD) service” [76] and the “second television functionality is an interactive program guide (IPG) service” [70].

Claims 95 and 117 are rejected wherein “the first structured visual presentation includes a list of VOD rentals available via the STT” (Figure 16) and the “second structured visual presentation includes a list of broadcast programs available via the STT” (Figure 15).

Claims 97 and 118 are rejected wherein a “structure of the first structure of the first structured visual presentation is substantially different from a structure of the second structured visual presentation”. In particular, the “first structured visual presentation” (Figure 16) comprises a 4x1 grid structure while the “second structured visual presentation” comprises a 4x3 grid structure.

Claims 96 and 101 are rejected wherein as illustrated in Figure 17B, wherein a “distinct portion of the first structured visual presentation in which the at least one graphical element is located corresponds to a portion of the second structured visual presentation where the at least one graphical element is located” such that “the second structured visual presentation overlays a portion of the first structured visual presentation” so as to present a combined structure. Claims 102 and 120 are rejected in light of the combined references. As aforementioned, the Craycroft et al. reference discloses that a user theme may specify a “plurality of respective colors” including the background color, the font color, and the highlight color (Col 20, Line 66 – Col 21, Line 1). The Ellis et al. reference teaches the maintenance of a consistent user interface between applications and associated “structured visual presentations”. Accordingly taken in combination, “a first plurality of graphical

elements including in the first visual presentation have a plurality of respective colors specified by the selected color specification” and a “second plurality of graphical elements included in the second structured visual presentation have the plurality of respective colors specified by the selected color scheme” such that “each of the first plurality of graphical elements corresponds to one of the second plurality of graphical elements” in order to maintain a consistent appearance between applications. For example, both applications may utilize the same font color.

Claim 98 is rejected wherein “the at least one graphical element is text” comprising a program title.

In consideration of claim 99, the “at least one graphical element comprises an icon” such as a down arrow (ex. Figures 15-17B) that “corresponds to an input key” [130/134]on an input device configured to provide user input to the STT” (Figure 9). The Craycroft et al. reference discloses that particular displayed icons are modifiable in appearance (Col 4, Lines 27-34).

In consideration of claim 100, the Craycroft et al. reference discloses that the user is operable to create/modify a particular theme or “color specification”. Accordingly, the system is operable to “enable the STT to display additional colors in the first and second structured visual presentations, wherein the additional colors are not specified by the first and second sets of color specifications” (Col 21, Lines 9-32) in conjunction with a newly created “color specification”.

Claim 103 is rejected wherein the user is operable to “associate a texture with the at least one graphical element responsive to user input” in the form of a particular pattern for the graphical element (Craycroft et al.: Col 21, Lines 5-10).

Claim 104 is rejected wherein the user is operable to “associate a size with the at least one graphical element responsive to user input” (Craycroft et al.: Col 4, Lines 24-41).

Claim 113 is rejected wherein the “first and second structured visual presentations include respective portions having a foreground color specified by the selected color specification” (Craycroft et al.: Col 17, Lines 32-52)

Claim 114 is rejected wherein the “first and second structured visual presentations include respective portions having a background color specified by the selected color specification” (Craycroft et al.: Col 20, Line 66 – Col 21, Line 1).

Claim 115 is rejected wherein the “color scheme specifications specify at least three colors” such as the background color, the font color, and the highlight color (Craycroft et al.: Col 20, Line 66 – Col 21, Line 1).

Claim 119 is rejected wherein as illustrated in Figure 17B, a “portion of the first structured visual presentation in which the first plurality of letters are located corresponds to a portion of the second structured visual presentation where the second plurality of letters are located” such that “the second structured visual presentation overlays a portion of the first structured visual presentation” so as to present a combined structure.

7. Claims 105-111 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ellis et al. (WO 00/05889), in view of Kamen et al. (US Pat No. 6,421,067).

In consideration of claim 105, the Ellis et al. reference discloses a method implemented by a “set-top terminal (STT)” [40] for the implementation of a common interface between a “first software module including an executable program configured” [76] and a “second software module including an executable program” [70] (Page 2, Lines 9-29). The method involves “storing font characteristic specifications in the STT” [92] (Page 20, Lines 9-27), a “first software module” [70] and a “second software module” wherein the software modules “provide” a first and second “television functionality including a first plurality of structured visual presentations, wherein each of the first plurality of structured visual presentation includes a plurality of graphical elements” (Figures 15-17B). The “set-top terminal” [40] “receives television service data from a server device remotely located from the STT” (Page 15, Lines 6-29) and via the API [72] “enables”, “associates the first and second software modules with the font characteristics”, and “outputs” the “first structured visual presentation” and the “second structured visual presentation” wherein both the “first” and “second plurality of letters are displayed” within the “first” and “second structured visual presentation according to the font characteristic specifications” in displaying a consistent user interface between software modules (Page 19, Line 6-27; Page 22, Lines 16-28; Page 23, Line 24 – Page 24, Line 5).

As illustrated in Figure 29B, Ellis et al. teaches the usage of a setup menu so as to enable the user to customize various features of the program guide (Page 48, Lines 21-25). The reference, however, does not explicitly disclose nor preclude that “responsive to user input corresponding to at least one selectable option provided by the STT” that the user may designate a particular “font characteristic” to be utilized in conjunction with the shared

applications. Kamen et al. discloses that “responsive to user input corresponding to at least one selectable option provided by the STT, the selectable option corresponding to the font characteristic specifications” that a different font characteristic may be utilized in conjunction with the display of an EPG (Kamen et al.: Col 13, Lines 26-41). Accordingly, it would have been obvious to one having ordinary skill in the art at the time the invention was made so as to provide the ability for the user to designate a particular font characteristic in conjunction with the setup of Ellis et al. for the purpose of providing a means by which those with low visual acuity may read the information in the EPG and other non-program guide applications (Kamen et al.: Col 2, Lines 55-58).

Claim 106 is rejected wherein “the font characteristic specifications specify at least one of a font size, a font style, a font color, and a font outline characteristic” (Kamen et al.: Col 13, Lines 26-29).

Claim 107 is rejected wherein “the first television functionality is a video-on-demand (VOD) service” [76] and the “second television functionality is an interactive program guide (IPG) service” [70].

Claim 108 is rejected wherein “the first structured visual presentation includes a list of VOD rentals available via the STT” (Figure 16) and the “second structured visual presentation includes a list of broadcast programs available via the STT” (Figure 15).

Claims 109 and 111 are rejected wherein as illustrated in Figure 17B, a “portion of the first structured visual presentation in which the first plurality of letters are located corresponds to a portion of the second structured visual presentation where the second

plurality of letters are located" such that "the second structured visual presentation overlays a portion of the first structured visual presentation" so as to present a combined structure.

Claim 110 is rejected wherein a "structure of the first structure of the first structured visual presentation is substantially different from a structure of the second structured visual presentation". In particular, the "first structured visual presentation" (Figure 16) comprises a 4x1 grid structure while the "second structured visual presentation" comprises a 4x3 grid structure.

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure as follows. Applicant is reminded that in amending in response to a rejection of claims, the patentable novelty must be clearly shown in view of the state of the art disclosed by the references cited and the objections made.

- The Etheredge (US Pat No. 6,018,372) reference discloses a system and method for implementing an electronic program guide that further allows the user to change the appearance of the grid including the colors of the display, the font, font size, etc.
- The Ellis et al. (US Pat No. 6,665,869) reference discloses a program guide system that supports a program guide application and multiple non-program guide applications. This reference is explicitly incorporated by reference into the Ellis et al. ('889).

- The Matey (EP 1161084) reference discloses an EPG wherein the user is operable to change between a number of pre-determined formats including difference sizes and/or arrangements, different colors, and icons. This reference does not currently qualify as prior art under 35 U.S.C 102.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Scott Beliveau whose telephone number is 703-305-4907. The examiner can normally be reached on Monday-Friday from 9:00 a.m. - 6:30 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Miller can be reached on 703-305-4795. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

SEB  
June 4, 2004



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